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J0661 U.S. PTO

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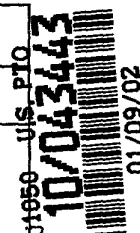
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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

<b>NEW UTILITY PATENT APPLICATION TRANSMITTAL</b> <i>(only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket Number	20412-06488
	First Named Inventor	John W. Barrus et al.
	Title	System and Method for Audio Creation and Editing in a Multimedia Messaging Environment
	Express Mail Label No.	EL566205666US



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10/043443

01/09/02

APPLICATION ELEMENTS	ACCOMPANYING APPLICATION PARTS
1. <input checked="" type="checkbox"/> Fee Transmittal Form (in duplicate)	7. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	8. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)
3. <input checked="" type="checkbox"/> Specification <span style="float: right;">Total Pages <input type="text" value="60"/></span> <i>(preferred arrangement set forth below)</i> <ul style="list-style-type: none"><li><input checked="" type="checkbox"/> Descriptive Title of the Invention</li><li><input checked="" type="checkbox"/> Cross Reference(s) to Related Case(s)</li><li><input checked="" type="checkbox"/> Statement Regarding Fed sponsored R &amp; D</li><li><input checked="" type="checkbox"/> Background of the Invention</li><li><input checked="" type="checkbox"/> Brief Summary of the Invention</li><li><input checked="" type="checkbox"/> Brief Description of the Drawing(s)</li><li><input checked="" type="checkbox"/> Detailed Description</li><li><input checked="" type="checkbox"/> Claim or Claims</li><li><input checked="" type="checkbox"/> Abstract of the Disclosure</li></ul>	9. <input type="checkbox"/> Power of Attorney or Authorization of Agent
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. <span style="float: right;">Total Sheets <input type="text" value="32"/></span> 113)	10. <input type="checkbox"/> 37 CFR 3.73(b) Statement
5. Oath or Declaration	11. <input type="checkbox"/> Preliminary Amendment
a. <input checked="" type="checkbox"/> New Declaration <span style="float: right;">Total Pages <input type="text" value="3"/></span> (unsigned) <input type="checkbox"/> Executed (original or copy)	12. <input type="checkbox"/> Information Disclosure Statement & PTO-1449 <input type="checkbox"/> Copies of IDS Citation(s)
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation/divisional with Box 18 completed)</i>	13. <input checked="" type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	14. <input checked="" type="checkbox"/> Return Postcard
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76	15. <input checked="" type="checkbox"/> Petition to Accept Color Drawings Under 37 CFR § 1.84(a)(2)
	16. <input checked="" type="checkbox"/> Color drawings: (in triplicate)
	17. <input type="checkbox"/>
<b>ADDRESS TO:</b> <b>Box Patent Application</b> <b>Commissioner for Patents</b> <b>Washington, D.C. 20231</b>	

18. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information below and in a preliminary amendment or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09/407,010

Prior application information: Examiner: \_\_\_\_\_

Group/Art Unit: \_\_\_\_\_

**For CONTINUATION OR DIVISIONAL APPS only:** The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuing or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 19. CORRESPONDENCE ADDRESS

☒ Customer Number and Bar Code  
Label



00758

Name (Print/Type)	Greg T. Sueoka	Registration No. (Attorney/Agent)	33,800
Signature		Date	1/9/02

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0002/PTO(modified) Rev. 10/2001  U.S. Department of Commerce Patent and Trademark Office  <div style="text-align: center;"><b>FEE TRANSMITTAL</b></div> <div style="text-align: center;"><b>TOTAL AMOUNT OF PAYMENT</b></div> Subtotal (1) + Subtotal (2) + Subtotal (3) = <b>(\$2268)</b>	<div style="text-align: center;"><b>Complete if Known</b></div> <table border="1" style="width: 100%; border-collapse: collapse;"> <tr><td>Application Number</td><td>Unassigned</td></tr> <tr><td>Filing Date</td><td>Unassigned</td></tr> <tr><td>First Named Inventor</td><td>John W. Barrus et al.</td></tr> <tr><td>Group Art Unit</td><td>Unassigned</td></tr> <tr><td>Examiner Name</td><td>Unassigned</td></tr> <tr><td>Attorney Docket Number</td><td>20412-06488</td></tr> </table>	Application Number	Unassigned	Filing Date	Unassigned	First Named Inventor	John W. Barrus et al.	Group Art Unit	Unassigned	Examiner Name	Unassigned	Attorney Docket Number	20412-06488
Application Number	Unassigned												
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First Named Inventor	John W. Barrus et al.												
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Examiner Name	Unassigned												
Attorney Docket Number	20412-06488												

METHOD OF PAYMENT	FEE CALCULATION (continued)																							
<b>1. The Commissioner is hereby authorized to:</b>  <input type="checkbox"/> Charge the indicated fees to the below mentioned deposit account.  <input checked="" type="checkbox"/> Charge any additional fee required under 37 CFR 1.16 - 1.21 or credit any over payments to the below mentioned deposit account. <sup>†</sup>  <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27  Deposit Account Number: 19-2555 Deposit Account Name: FENWICK & WEST LLP  A Duplicate Copy of this authorization is attached  <b>2. <input checked="" type="checkbox"/> Payment Enclosed:</b> <input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Other  <div style="border: 1px solid black; padding: 2px;"><b>FEE CALCULATION</b> (fees effective 10/01/2001)</div> <div style="border: 1px solid black; padding: 2px;"> <b>1. FILING FEE</b>  <table style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left;">Large Entity Fee Code/Fee</th> <th style="text-align: left;">Small Entity Fee Code/Fee</th> <th style="text-align: left;">Description</th> <th style="text-align: right;">Fee Due</th> </tr> </thead> <tbody> <tr> <td>101/\$740</td> <td>201/\$370</td> <td>Utility Filing</td> <td style="text-align: right;"><b>740</b></td> </tr> <tr> <td>106/\$330</td> <td>206/\$165</td> <td>Design Filing</td> <td style="text-align: right;"></td> </tr> <tr> <td>109/\$740</td> <td>208/\$370</td> <td>Reissue</td> <td style="text-align: right;"></td> </tr> <tr> <td>112/\$160</td> <td>214/\$80</td> <td>Provisional Filing</td> <td style="text-align: right;"></td> </tr> <tr> <td colspan="3"><b>SUBTOTAL (1)</b></td> <td style="text-align: right;"><b>(\$ 740)</b></td> </tr> </tbody> </table> </div>	Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Description	Fee Due	101/\$740	201/\$370	Utility Filing	<b>740</b>	106/\$330	206/\$165	Design Filing		109/\$740	208/\$370	Reissue		112/\$160	214/\$80	Provisional Filing		<b>SUBTOTAL (1)</b>			<b>(\$ 740)</b>
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<b>SUBTOTAL (1)</b>			<b>(\$ 740)</b>																					

**2. CLAIMS**  

Large Entity Fee Code/Fee	Small Entity Fee Code/Fee	Description	Fee Due
103/\$18	203/\$9	Claims in excess of 20	
102/\$84	202/\$42	Independent claims in excess of 3	
104/\$280	204/\$140	Multiple dependent claim	
109/\$84	209/\$42	Reissue independent claims over original patent	
110/\$18	210/\$9	Reissue claims in excess of 20 and over original patent	

\* Subtract the greater number of Col. 2

\*\* If the difference between Col. 1 and Col. 2 is less than zero, then enter "0" in Col. 3

<b>SUBMITTED BY</b>		<b>Complete (if applicable)</b>	
Typed or Printed Name	Greg T. Sueoka	Reg. Number	33.800
Signature		Date	1/9/02

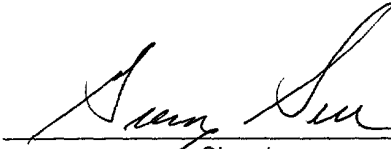
<sup>†</sup> Request for Extension of Time per 37 CFR 1.136 (a)(3) made hereby

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>		First Named Inventor	John W. Barrus et al.
		Title	System and Method for Audio Creation and Editing in a Multimedia Messaging Environment
		Atty Docket Number	20412-06488

I hereby certify that the invention disclosed in the attached application **has not been and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

1/9/02  
Date

  
Signature

Greg T. Sueoka, 33,800  
Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

## IN THE UNITED STATES

## PATENT AND TRADEMARK OFFICE

APPLICANTS: John W. Barrus et al.  
APPLICATION NO.: not yet known  
FILING DATE: January 9, 2002  
TITLE: System and Method for Audio Creation and Editing in a Multimedia  
Messaging Environment  
EXAMINER: not yet known  
GROUP ART UNIT: not yet known  
ATTY. DKT. NO.: 20412-06488

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Patent Application, Commissioner For Patents, Washington, D.C. 20231, on the date shown below:

Dated: 1/9/02By: Greg T. Sugoka

Greg T. Sugoka

BOX PATENT APPLICATION  
COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

PETITION TO ACCEPT COLOR DRAWINGS  
UNDER 37 CFR §1.84(a)(2)

SIR:

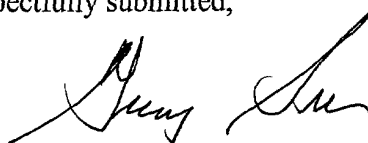
Applicants hereby petition the United States Patent and Trademark Office to accept color drawings (Figures 1A, 4A, 4B, 4C, 5A, 5B, 5C, 6A, 6B, 6C, 7A, 7B, 8A, 8B, 8C, 15A, 15B, 15C, 15D, 16A, 16B) in the enclosed utility application. Applicants respectfully submit that the use of color drawings is necessary to a complete understanding of the invention, because the color drawings represent computer screen shots seen by an agent using the invention, the computer screen shots actually seen by the agent are in color, and color is necessary to distinguish among the many different items that are displayed on the computer screen.

**PATENT**

Enclosed herewith are:

- 1) The \$130.00 fee set forth in 37 CFR §1.17(h).
- 2) Three sets of the drawings (Figures 1A, 4A, 4B, 4C, 5A, 5B, 5C, 6A, 6B, 6C, 7A, 7B, 8A, 8B, 8C, 15A, 15B, 15C, 15D, 16A, 16B) that are in color.
- 3) A black and white photocopy that accurately depicts, to the extent possible, the subject matter shown in the color drawings.
- 4) The specification as originally filed contains the language: "The file of this patent or application contains at least one drawing executed in color. Copies of this patent or patent application publication with color drawings will be provided by the USPTO upon request and payment of the necessary fee".

Respectfully submitted,



Greg T. Sueoka, Reg. No. 33,800  
Attorney for Applicants  
Fenwick & West LLP  
Two Palo Alto Square  
Palo Alto, CA 94306  
Tel.: (650) 858-7142

Date

11/9/02